



Strategic Investment Service Management Company (RF) (Pty) Ltd
Mutualpark, Jan Smuts Drive, Pinelands ■ PO Box 44604, Claremont 7735, South Africa.
Telephone no.: +27(0)21 524 5017 ■ E-mail: sisadministrator@oldmutual.com

IMPORTANT INFORMATION

- 1. Please complete all the relevant sections and sign the applicable sections.
2. The transfer notice must be signed by both the Transferor and the Transferee in the presence of a witness.
3. The Effective Annual Cost (EAC) is a standard industry measure for your information and to facilitate comparing the cost of an investment over specific periods.
4. The completed form and supporting documentation (see below) can be scanned and emailed to sisadministrator@oldmutual.com.
5. Once your account has been opened, you can register via www.oldmutualinvest.com to view and/or transact on your portfolio.

SUPPORTING DOCUMENTATION REQUIREMENTS

Buying and selling requests require at least proof of identity (e.g. bar-coded ID/passport) and residential address (e.g. utility bill not older than 6 months), unless we have your current documents on record. Please refer to the document entitled "Supporting Document Requirements" (available on our website) for further information.

Please complete this form using block letters and tick (✓) where appropriate.

1. TRANSFER FROM (TRANSFEROR):

Please ensure all the required supporting documentation is provided for every person listed on the form.

Form fields for Surname and initials, Title and first names, ID/Passport number, Income tax number, Tel. No, Nationality, and If passport, place of issue.

OR FULL NAME(S) OF ENTITY INVESTOR IN WHICH NAME THE INVESTMENT IS HELD

Form fields for Company/entity name (if applicable) and Full name of authorised representative.

PROVIDE CONTACT INFORMATION OF TRANSFEROR

Form fields for Cellphone, Telephone (Home), Telephone (Work), E-mail Address, Postal address, Physical address, and Postcode.



1. TRANSFER FROM (TRANSFEROR): CONTINUED

DETAIL'S OF PERSON ACTING ON BEHALF OF INVESTOR CONTINUED

Proof of Identity:

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport)/Refugee	Country of issue (ID or passport)	ID/Passport number	Passport issue date	Passport expiry date

Other State type eg. Social security number Country of citizenship:

Country of birth: Country of residence: Country of nationality:

Cellphone
(Include country dialling code e.g. South Africa +27)

Telephone (Home)
(Include country dialling code e.g. South Africa +27)

Telephone (Work)
(Include country dialling code e.g. South Africa +27)

E-mail Address
Strategic Investment Service Management Company (RF) (Pty) Ltd preferred method of communication is e-mail

Your preferred method of communication E-mail Post

Residential address

My address OR Care of (c/o)* address Care of (c/o)* Name

* Care of: If you do not have a residential address of your own and require your post to be directed to the care of this person

Address
 Postal code

Country

Postal address, if different from residential address

My address OR Care of (c/o)* address Care of (c/o)* Name

* Care of: If you do not have a residential address of your own and require your post to be directed to the care of this person

Address
 Postal code

Country



2. DETAILS OF TRANSFER:

ACCOUNT NUMBER	UNIT TRUST NAME	TRANSFER DETAILS		
		No. of Units	or	Rand Value
			R	
			R	
			R	

MY EXISTING DEBIT ORDER DETAILS RELATING TO THE ABOVE ACCOUNTS

UNIT TRUST NAME	ACCOUNT NUMBER	DEBIT ORDER (✓ to action)	
		Cancel	Remain

I hereby apply to transfer the number of units or the number of units equivalent to the rand amount at the date of transfer, to the Transferee. I, the Transferor, hereby warrant that I have full power and authority to enter into and conclude this agreement. In the case of transfers from a minor child, the guardian hereby indemnifies Strategic Investment Service Management Company (RF) (Pty) Ltd against any claim by the minor child as a result of the transfer of units.

Signed at (place) on (date)

D	D	M	M	C	C	Y	Y

Witness
(SIGNATURE) **(SIGNATURE OF TRANSFEROR)**

Address

PRINT NAME OF TRANSFEROR
(CAPACITY, E.G. EXECUTOR/GUARDIAN/ INSTITUTION)

3. TRANSFER TO (TRANSFEEE):

I am investing for: Myself On behalf of someone else Please also complete section 1(b)

State relationship

INDIVIDUAL IN WHOSE NAME INVESTMENT IS HELD

Title and surname

Full first names

Gender: Male Female

Marital status:

Single Married Divorced Widowed

Other

Marital status type:

Civil Marriage in Community of Property Civil Marriage with Accrual Civil Marriage without Accrual

Other

Occupation:



3. TRANSFER TO (TRANSFeree): CONTINUED

Proof of Identity:

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport)/Refugee	Country of issue (ID or passport)	ID/Passport number	Passport issue date	Passport expiry date

Other State type eg. Social security number Country of citizenship:

Country of birth: Country of residence: Country of nationality:

Cellphone
(Include country dialling code e.g. South Africa +27)

Telephone (Home)
(Include country dialling code e.g. South Africa +27)

Telephone (Work)
(Include country dialling code e.g. South Africa +27)

E-mail Address
Strategic Investment Service Management Company (RF) (Pty) Ltd preferred method of communication is e-mail

Your preferred method of communication E-mail Post

Residential address

My address OR Care of (c/o)* address Care of (c/o)* Name

* Care of: If you do not have a residential address of your own and require your post to be directed to the care of this person

Address

Postal code

Country

Postal address, if different from residential address

My address OR Care of (c/o)* address Care of (c/o)* Name

* Care of: If you do not have a residential address of your own and require your post to be directed to the care of this person

Address

Postal code

Country

OR FULL NAME(S) OF ENTITY INVESTOR

Company/entity name (if applicable)

Full name of authorised representative



3. TRANSFER TO (TRANSFeree): CONTINUED

CONFIRM TYPE OF ASSETS Retail Institutional

- Institutional assets refer to assets held or managed on behalf of institutional investors, i.e. retirement funds, long-term insurers, collective investment scheme managers and investment managers registered with Exchange Control as institutional investors for exchange control purposes.
• Retail assets refer to assets received from individuals and other entities, such as companies and trusts, etc. and include retail assets received indirectly through an intermediary, such as a linked investment service provider (LISP), nominee company or an investment manager not registered as an institutional investor with Exchange Control.

DISTRIBUTION SELECTION

Please indicate whether distributions must be:

Reinvested or Paid out

Please note if no selection is made, distributions are automatically reinvested.

4. TAX RESIDENCY DETAIL

As a financial institution we are obliged to comply with international agreements signed by our government aimed at combatting tax evasion. In terms of these agreements, we are required to collect information regarding where you are resident for tax purposes including the related tax reference numbers. If you are resident for tax purposes in a country other than South Africa, certain of your information will be reported to SARS who will automatically share this information with the participating countries where required.

Each country has its own rules for whether a person is resident for tax purposes or not. It is possible to be resident for tax purposes in more than one country. Depending on the rules of the country, tax residency may be determined by factors such as where you permanently live, where you were born, or if you live and work in the country for more than half the year. If you are a US citizen or hold a US passport or green card, you will also be considered resident for tax purposes in the US even if you live outside the US, unless you have given up your citizenship.

Please answer the following questions and complete your tax reference number(s) in the table below, along with details of your identifications and any other nationality or citizenship, providing identification for each country for which you are a resident for tax purposes.

Table with 6 columns: Question, Yes, No, Country of tax residence, Income tax reference number (TIN), Foreign ID/passport of country, ID type. Rows include questions about South Africa residency, VAT numbers, US citizenship, and other countries.

TIN reason code table

Table with 4 columns: Reason, Description, Please tick (✓), Why is TIN unobtainable. Rows A, B, and C describe reasons for unobtainable TINs.



7. DISCLAIMER CONTINUED

have the effect of increasing or decreasing the daily yield, but in the case of abnormal losses, it can have the effect of reducing the capital value of the fund. The published yield is calculated using the average of the fund's previous seven days' net income (and assumes all income was reinvested). This figure is then annualised, which is the weighted average compound growth rate.

Fund of Funds:

A fund of funds is a portfolio that invests in other funds in order to meet the investment objective of the fund of funds. Fund of funds can invest in local, foreign or offshore funds which levy their own charges, and may result in a higher fee structure

Funds holding foreign assets:

Some funds hold assets in foreign countries and therefore may have risks, in these countries, regarding liquidity, the repatriation of funds, political and macro-economic situations, foreign exchange, tax, settlement and the availability of information.

8. DECLARATION

Strategic Investment Service Management (RF) Pty Ltd aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.

- I agree to accept the number of units sold to me by virtue of this application and I warrant that I have full power and authority to enter into and conclude this transaction, with the necessary assistance where such assistance is a legal requirement.
I am aware that this product offers no cooling-off rights.
I know that the cost of buying units may include an initial charge plus VAT, depending on the amount invested and whether or not I use an intermediary, and that there are no guarantees on my capital.
I acknowledge that there are further allowable deductions from the unit trust/s (e.g. an annual service fee) that impact on the value of my investment. I am satisfied that the facts provided are accurate and complete. I am aware of the unit trust's/unit trusts' objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s.
I acknowledge that Strategic Investment Service Management Company (RF) (Pty) Ltd may borrow up to 10% of the portfolio to bridge insufficient liquidity.
I have read and fully understood all the pages of this application form.
I hereby authorise Strategic Investment Service Management Company (RF) (Pty) Ltd to debit the account mentioned above with the monthly amount/lump sum specified.
I further authorise Strategic Investment Service Management Company (RF) (Pty) Ltd to accept instructions from me via facsimile (please delete if not applicable).
I have read and am aware of the unit trust's/unit trusts' objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s (Minimum Disclosure Document).

Signed at (place) [] on (date) [D D M M C C Y Y]

Witness [] (SIGNATURE) [] (SIGNATURE OF TRANSFEREE)
Address [] []

Date [D D M M C C Y Y] Signature of investor []

CONTACT DETAILS

Strategic Investment Service Management Company (RF) (Pty) Ltd

Registration no.: 1997/022003/07. VAT no.: 4230116479
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa | PO Box 44604, Claremont 7735, South Africa
Telephone no.: +27(0)21 524 5017 | Email: sisadministrator@oldmutual.com
Complaints may be directed to the Client Relationship Manager at the above address. Our complaints process is available by contacting +27 21 524 5017.
Compliance Department: +27(0)21 503 1770

Old Mutual Investment Administrators (Pty) Ltd

Registration no.: 1988/003478/07
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa | PO Box 5408, Cape Town 8000, South Africa
Telephone no.: +27(0)21 503 1770
Compliance Department: +27(0)21 503 1770

Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers (RF) (Pty) Limited and is a licensed financial services provider.