



1(a). INVESTOR'S DETAIL CONTINUED

NAME OF ENTITY INVESTOR IN WHICH NAME THE INVESTMENT IS HELD

Detail of investing entity:

Please provide your unit trust contract number [grid]

Investing Entity Trade Name (e.g. Fund/Investment Product/Fund of Funds/Nominee Company Ref) [grid]

Entity Name of Holding Company/Management Company/Nominee Company [grid]

Entity Name Registration number [grid] Entity Registration Date [grid] D D M M Y Y Y Y

Entity Tax Number [grid] Entity VAT Number [grid]

Type of Entity [] Listed Company [] Unlisted Company [] Trust [] Partnership [] LISP [] Close Corporation [] Fund of Funds [] Retirement Fund

Other [text box]

Country Of Organisation or Incorporation [grid]

Entity's Registered Address [grid] Postal code [grid]

Country of address [grid]

Entity Trade Address [grid] Postal code [grid]

Country of address [grid]

Entity telephone number [grid] (Include country dialling code e.g. South Africa +27)

Entity email address [text box]

(Strategic Investment Service Management Company (RF)(Pty) Ltd preferred method of communication is email)

1(b). DETAILS OF AUTHORISED PERSON (MANDATORY)

Please complete if you are acting on behalf of the contracting party and/or controlling person.

Power of Attorney [] Curatorship [] Authorised by entity as authorised person (documentation required, e.g. a resolution) []

Title and surname [grid]

Full first names [grid]

Gender: Male [] Female []



2. APPLICATION FOR EXEMPTION [DTD (EX)] CONTINUED

2.2 Please indicate the reason why you (the investor or representative of the investor) are exempt from the dividends tax:

- Par (a) – a company which is resident in South Africa
- Par (b) – the Government, provincial government or municipality (of the Republic of South Africa)
- Par (c) – a public benefit organisation (approved by SARS into section 30(3) of the Act)
- Par (d) – a trust contemplated in section 37A of the Act (mining rehabilitation trusts)
- Par (e) – an institution, body, or board contemplated in section 10(1)(cA) of the Act
- Par (f) – a fund contemplated in section 10(1)(d)(i) or (ii) of the Act (pension fund, pension preservation fund, provident fund, provident preservation fund, retirement annuity fund, beneficiary fund or benefit fund)
- Par (g) – a person contemplated in section 10(1)(t) of the Act (CSIR, SANRAL etc)
- Par (h) – a shareholder in a registered micro business as defined in the Sixth Schedule to the Act to the extent that the aggregate amount of the dividends paid by that registered micro business to its shareholders during the year of assessment in which that dividend is paid does not exceed R200 000
- Par (i) – a small business funding entity as contemplated in section 10(1)(cQ)
- Par (j) – a person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of “dividend” in section 64D (i.e. a dividend on a foreign company’s shares listed in SA, such as dual-listed shares)
- Par (l) – any person insofar as the dividend constitutes income of that person (i.e. falls into normal tax system)*
- Par (m) – any person to the extent that the dividend was subject to STC
- Par (n) – Fidelity and indemnity funds contemplated in section 10(1)(d)(iii) – i.e. only the two, not the other entities mentioned
- Par (y) – Double Taxation Agreement
- Par (z) – Other international agreement
- 64H2(B) – distribution to other regulated intermediary

“regulated intermediary” means any –

- central securities depository participant contemplated in section 32 of the Financial Markets Act;
- authorised user as defined in section 1 of the Financial Markets Act;
- approved nominee contemplated in section 76 (3) of the Financial Markets Act;
- nominee that holds investments on behalf of clients as contemplated in section 9.1 of Chapter 1 and section 8 of Chapter II of the Codes of Conduct for Administrative and Discretionary Financial Service Providers, 2003 (Board Notice 79 of 2003) published in *Government Gazette* No. 25299 of 8 August 2003;
- portfolio of a collective investment scheme in securities;
- transfer secretary that is a person other than a natural person and that has been approved by the Commissioner subject to such conditions and requirements as may be determined by the Commissioner; or
- a portfolio of a hedge fund collective investment scheme.

Declaration in terms of section 64FA(1)(a)(i), 64G(2)(a)(aa) or 64H(2)(a)(aa) of the Act:

I (full names in print please), the undersigned, hereby declare that dividends paid to the investor are exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above. I undertake to inform the Withholding Agent in writing should the circumstances of the investor referred to in the declaration above change.

I/We acknowledge that it is the sole responsibility of the Beneficial Owner to ensure that this declaration is completed timeously and that the information provided is accurate and complete. Strategic Investment Service Management Company (RF) (Pty) Ltd will not be liable for any expenses or damages due to non-submission or late submission of the form, or where the document submitted is incorrectly completed or only partially completed. I/We agree that it is the sole responsibility of the Beneficial Owner to comply with all requirements and obligations in relation to DWT as set out in the Act from time to time. I/We certify that I/we am/are duly authorised to sign this declaration on behalf of the Beneficial Owner.

Signature
 (Duly authorised to do so)

Capacity of Signatory:
 (if not the beneficial owner)

Date

D	D	M	M	Y	Y	Y	Y



2. APPLICATION FOR EXEMPTION [DTD (EX)] CONTINUED

UNDERTAKING in terms of sections 64FA(1)(a)(iii), 64G(2)(a)(bb) or 64H(2)(a)(bb) of the Act:

I [] (full names in print please), the undersigned undertake to forthwith inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to in the declaration above change.

Signature (Duly authorised to do so) []

Date [] [] [] [] [] [] [] []

Capacity of Signatory: (if not the beneficial owner) []

I confirm that the exemption claimed above applied from:

The date I first invested []

Or From the following date [] [] [] [] [] [] [] []

Signature (Duly authorised to do so) []

Date [] [] [] [] [] [] [] []

Capacity of Signatory: (if not the beneficial owner) []

3. APPLICATION FOR REDUCED RATE OF TAX [DTD (RR)]

3.1 Nature of person/entity:

- Individual
Listed company
Unlisted company
Trust (any type)
RSA Government, Provincial Administration, municipalities
Retirement fund (Pension, Provident, Benefit, RA etc)
Other (if selected please provide a description/explanation of nature of the entity/person):

3.2 This application is made for dividends from all shares held via the portfolios managed by Old Mutual Unit Trust Managers Limited.

Declaration in terms of section 64FA(1)(a)(i),64G(2)(a)(aa) or 64H(2)(a)(aa) of the Act:

I [] (full names in print please), the undersigned declare that all the relevant requirements in terms of Article [] of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force on the relevant date between the Republic of South Africa and the country of residence of the investor specified above, as well as section 64FA, 64G or 64H of the Act (whichever is applicable), have been met and that dividends paid on the shares specified above are therefore subject to a reduced rate of []%. I undertake to forthwith inform the Withholding Agent in writing should the circumstances of the investor referred to in the declaration above change.

I/We acknowledge that it is the sole responsibility of the Beneficial Owner to ensure that this declaration is completed timeously and that the information provided is accurate and complete. Strategic Investment Service Management Company (RF) (Pty) Ltd will not be liable for any expenses or damages due to non-submission or late submission of the form, or where the document submitted is incorrectly completed or only partially completed. I/We agree that it is the sole responsibility of the Beneficial Owner to comply with all requirements and obligations in relation to DWT as set out in the Act from time to time. I/We certify that I/we am/are duly authorised to sign this declaration on behalf of the Beneficial Owner.

Signature []

Date [] [] [] [] [] [] [] []

Capacity of Signatory: (Duly authorised to do so) []



3. APPLICATION FOR REDUCED RATE OF TAX [DTD (RR)] CONTINUED

UNDERTAKING in terms of sections 64FA(1)(a)(ii), 64G(2)(a)(bb) or 64H(2)(a)(bb) of the Act:

I [] (full names in print please), the undersigned undertake to forthwith inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to in the declaration above change.

Signature (Duly authorised to do so) []

Date [] [] [] [] [] [] [] []

Capacity of Signatory: (if not the beneficial owner) []

I confirm that the exemption claimed above applied from:

The date I first invested []

Or From the following date [] [] [] [] [] [] [] []

Signature (Duly authorised to do so) []

Date [] [] [] [] [] [] [] []

Capacity of Signatory: (if not the beneficial owner) []

4. PROTECTION OF PERSONAL INFORMATION ACT (POPIA)

The Old Mutual Group would like to offer you ongoing financial services and may use your personal information to provide you with information about products or services that may be suitable to meet your financial needs. Please sms your ID number to 30994 if you would prefer not to receive such information and/or financial services.

The Old Mutual Group may use, share or obtain your personal information (including criminal and/or health information) for the following purposes:

- Underwriting
• Assessment and processing of claims
• Where applicable, credit reference searches or verification, credit scoring and assessment and credit management
• Verification of personal information (including your identity, address and banking details)
• Updating your personal information
• Claims checks (ASISA Life & Claims Register(s))
• Tracing beneficiaries
• Debt tracing or debt recovery
• Tracing you where you are uncontactable
• Prevention and detection of fraud, crime, money laundering (including anti-money laundering screening) or other malpractice
• Market or customer satisfaction research or statistical analysis
• Audit & record keeping purposes
• Compliance with legal & regulatory requirements and in connection with legal proceedings
• Sharing information with service providers we engage to process such information on our behalf or who render services to us. These service providers may be abroad, but we will not share your information with them unless we are satisfied that they have adequate security measures in place to protect your personal information.

You agree that Old Mutual may view, search and update your information.

You may access your personal information that we hold and may also, under certain circumstances, request us to correct any errors or to delete this information. In certain cases you have the right to object to the processing of your personal information.

You also have the right to complain to the Information Regulator, whose contact details are:

http://www.justice.gov.za/inforeg/index.html
General enquiries: inforeg@justice.gov.za
Complaints: complaints.IR@justice.gov.za

To view our full privacy notice and to exercise your preferences, please visit our website on www.oldmutualinvest.com.



5. DISCLAIMER

We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.

- We believe in the value of sound advice and so recommend that you consult a financial planner before buying or selling unit trusts. You may however, buy and sell without the help of a financial planner. If you do use a financial planner, we remind you that they are entitled to certain negotiable planner fees or commissions.
- You should ideally see unit trusts as a medium to long term investment. The fluctuations of particular investment strategies affect how a fund performs. Your fund value may go up or down. Therefore, we cannot guarantee the investment capital or return of your investment. How a fund has performed in the past does not necessarily indicate how it will perform in the future.
- Our cut-off times for client transactions (e.g. buying and selling) is at 15:00 each working day for all funds, except the Money Market Fund, which is 11:00. We value our funds at 15:00, except for the Money Market Fund which is valued at 13:00. At month-end we value all the SIS Fund of Funds at 17:00 close). Daily prices are available on request from our contact centre (+27(0)21 524 5017) and in the media.
- The daily ruling price is based on the current market value of the fund's assets plus income minus expenses (NAV of the portfolio) divided by the number of units in issue. Strategic Investment Service Management Company (RF) (Pty) Ltd has the right to close a portfolio to new investors in order to manage it more efficiently in accordance with its mandate.
- You should ideally see unit trusts as a medium to long term investment. The fluctuations of particular investment strategies affect how a fund performs. Your fund value may go up or down. Therefore, we cannot guarantee the investment capital or return of your investment. How a fund has performed in the past does not necessarily indicate how it will perform in the future.
- Funds may borrow to pay client disinvestments and may engage in scrip lending. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.
- Strategic Investment Service Management Company (RF) (Pty) Ltd is a registered manager in terms of the Collective Investment Schemes Control Act 45 of 2002. The fund fees and costs that we charge for managing your investment is accessible on the relevant fund's minimum disclosure document (MDD) or Table of fees and charges, both available from our contact centre (+27(0)21 524 5017) or by email request to sisadministrator@oldmutual.com. Strategic Investment Service Management Company (RF) (Pty) Ltd is a subsidiary of Old Mutual, a member of the Association for Savings & Investment South Africa (ASISA).

Money Market Funds:

A Money Market Fund is not a bank deposit account. Its unit price aims to be constant but investment capital is not guaranteed. The total return is primarily made up of interest (declared daily at 13:00) but may also include any gain/loss on any particular instrument. In most cases this will merely have the effect of increasing or decreasing the daily yield, but in the case of abnormal losses, it can have the effect of reducing the capital value of the fund. The published yield is calculated using the average of the fund's previous seven days' net income (and assumes all income was reinvested). This figure is then annualised, which is the weighted average compound growth rate.

Fund of Funds:

A fund of funds is a portfolio that invests in other funds in order to meet the investment objective of the fund of funds. Fund of funds can invest in local, foreign or offshore funds which levy their own charges, and may result in a higher fee structure

Funds holding foreign assets:

Some funds hold assets in foreign countries and therefore may have risks, in these countries, regarding liquidity, the repatriation of funds, political and macro-economic situations, foreign exchange, tax, settlement and the availability of information.

CONTACT DETAILS

Strategic Investment Service Management Company (RF) (Pty) Ltd

Registration no.: 1997/022003/07. VAT no.: 4230116479

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa | PO Box 44604, Claremont 7735, South Africa

Telephone no.: +27(0)21 524 5017 | Email: sisadministrator@oldmutual.com

Complaints may be directed to the Client Relationship Manager at the above address. Our complaints process is available by contacting +27(0)21 524 5017.

Compliance Department: +27(0)21 524 5017

Old Mutual Investment Administrators (Pty) Ltd

Registration no.: 1988/003478/07

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa | PO Box 5408, Cape Town 8000, South Africa

Telephone no.: +27(0)21 524 5017

Compliance Department: +27(0)21 524 5017

Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers (RF) (Pty) Ltd and is a licensed financial services provider.