



OLD MUTUAL UNIT TRUSTS CLIENT DETAILS UPDATE FORM



Please provide us with your updated personal details to enable us to serve you better. The completed form can be emailed to uttransactions@oldmutual.com.

Unit Trust Contract Number

1(a). PERSONAL INFORMATION

I am investing for: Myself OR on behalf of someone else
Please also complete section 1(b) State relationship
Please also complete section 1(b)

INDIVIDUAL IN WHOSE NAME INVESTMENT IS HELD

Title and surname

Full first names

Gender: Male Female Date of birth*
D D M M C C Y Y

Marital status: Single Married Divorced Widowed Other

Marital status type: Marriage in Community of Property Marriage with Accrual Marriage without Accrual Other

Proof of Identity: Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport/Refugee)	Country of issue (ID or passport)	ID/Passport number	Passport issue date	Passport expiry date
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Other State type eg. Social security number Country of citizenship

Country of birth Country of residence

Country of Nationality Nationality start date
D D M M C C Y Y

Contact details:

Cellphone
(Include country dialling code e.g. South Africa +27)

Alternative number
(Include country dialling code e.g. South Africa +27)

E-mail address
Old Mutual Unit Trusts' preferred method of communication is e-mail

Residential address

My address **OR** Care of (c/o)* address Care of (c/o)* Name

* Care of: If you do not have a residential address of your own and require your post to be directed to the care of this person

Address
 Postal code

Country

Postal address, if different from residential address

My address **OR** Care of (c/o)* address Care of (c/o)* Name

* Care of: If you do not have a residential address of your own and require your post to be directed to the care of this person

Address
 Postal code

Country



1(a). PERSONAL INFORMATION CONTINUED

EMPLOYMENT DETAILS

Employment Position:

Are you a Prominent Influential Person*? No Yes (if Yes, please select one of the 4 Employment Positions listed below):

- Chairperson of the Audit Committee, Chief Financial Officer, Chairperson of the Board of Directors, Executive Officer

* A prominent influential (PIP) is a person who holds or has held at any time in the preceding 12 months, the position of one of those 4 options above as defined in the Companies Act, 2008 (Act No 71 of 200), if the company provides goods or services to an organ of state and the annual transactional value of the goods or services or both exceeds an amount determined by the Minister by notice in the Gazette.

Occupation:

- Executive Management/Director, Senior Management, Foreman/Supervisor, Self-employed, General Employee/Non-management, Retired, Managing Director, Unemployed, Non-Senior management

Industry or Nature of Business:

- Administrative and Support Service, Mining/Extraction of precious metals and minerals: Imports/Exports, Adult Entertainment, Motor trade/dealership, Agriculture, Forestry and Fishing, Motor trade/dealership: *High-cash value, Animal & Conservation, Motor trade/dealership: Imports/Exports, Arms Manufacturers and Dealers, Non-Profit Organisation, NGO etc., Art Dealer, Professional Services, Art Dealer of *high-value goods, Public Sector: Defence and Safety, Arts, Entertainment and Recreation, Public Sector: Procurement, Infrastructure and Administration, Atomic/Nuclear power generation, Real Estate and Property, Construction and Infrastructure, Real Estate: Development, Sales and/or Letting, Cryptocurrency assets, Real Estate: Development, Sales and/or Letting - *High-cash generating, Education, Healthcare and Social work, Red light/Adult Entertainment Industry, Financial and Insurance, Regulated/Registered charities/Non-Profit Organisation/NGO:*High-cash generating, Gambling Industry (Casino, slot machines, betting), Regulated/Registered charities/NPO/NGO, Hospitality, Science and Laboratories, Imports/Exports: Agriculture/Forestry and Fishing, Transporting, Storage and Logistics (excluding imports/exports), Imports/Exports: *High-cash generating, Unregulated/Unregistered charities/Non-Profit Organisation/NGO: *High-cash generating, Imports/Exports: *High-value goods, Unregulated/Unregistered charities/NPO/NGO, Imports/Exports: Other, Utilities



1(a). PERSONAL INFORMATION CONTINUED

Industry or Nature of Business: continued

- Information Communication and Technology
- Manufacturing (Import/Export)
- Manufacturing (Non-Import/Export)
- Mining/Extraction of precious metals and minerals: Extractive industries
- Mining/Extraction of precious metals and minerals: *High-value goods
- Virtual Asset Service Providers
- Wholesale and Retail trade
- Wholesale and Retail trade: *High-cash generating
- Wholesale and Retail trade: *High-value goods
- None (only allowed if retired or unemployed)

* "High-value goods and High-cash generating" refers to any item within the business valued at R100 000 or more.

Source of Income:

- Annuity Payment
- Commission
- Director's remuneration
- Disability Grants
- Gratuities/Tips
- Income protection payment
- Independent contractor/Labour broker
- Inheritance
- Maintenance Support
- Monthly Pension
- Salary
- Scholarship/Bursary
- Self-Employed/Own Business
- Third Party (Spouse/Partner/Family Member)
- Other Investment Income

Source of Funds:

- Annuity Payment
- Bonus/Profit Share
- Commission
- Company/entity profits
- Compensation payment/Arbitration award
- Contributions
- Director's remuneration
- Disability Grants
- Donation/Gift
- Gratuities/Tips
- Income protection payment
- Independent contractor/Labour broker
- Inheritance
- Investments
- Loan
- Maintenance Support
- Maturing investment/Policy claim
- Monthly Pension
- Provident/Preservation Provident fund
- Provident/Preservation Pension fund
- Restraint of trade payment
- Salary
- Sale of asset
- Savings
- Scholarship/Bursary
- Self-Employed/Own Business
- Third party funds
- Third party payer
- Winnings – Lottery Gambling



1(b). RELATED PARTY – DETAILS OF INDIVIDUAL PERSON ACTING ON BEHALF OF CLIENT

Power of attorney Legal guardian Guardian Other

Payer Reason for paying on behalf of owner Payer's source of funds

If you are funding this investment please state your relationship to the investor

Title and surname

Full first names

Gender: Male Female Date of birth* DDMMCCYY

Marital status: Single Married Divorced Widowed Other

Marital status type: Marriage in Community of Property Marriage with Accrual Marriage without Accrual Other

Proof of Identity: Please provide copy(s) of identification document(s) with this form

Table with 5 columns: Type of Identification (ID or Passport/Refugee), Country of issue (ID or passport), ID/Passport number, Passport issue date, Passport expiry date

Other State type eg. Social security number Country of citizenship

Country of birth Country of residence

Country of Nationality Nationality start date DDMMCCYY

Contact details:

Cellphone (Include country dialling code e.g. South Africa +27)

Alternative Number (Include country dialling code e.g. South Africa +27)

E-mail Address Old Mutual Unit Trusts' preferred method of communication is e-mail

1(c). RELATED PARTY – ENTITY ACTING ON BEHALF OF CLIENT

If you are an Entity acting on behalf of a client please click here to completed the Related Party Information Form.



2(a). BANK DETAILS

Deductions cannot be made from credit cards. Debit orders can only be raised from your own account (except in the case of grandparents/parents investing on behalf of minors, or spouses investing on each other's behalf).

Payments can only be made to the investor's own bank account. We will pay dividends, proceeds of sales and regular withdrawals, into this account.

Surname of account holder Initials

Bank and branch

Branch code and account number

Account type: Current Savings

2(b). RELATED PARTY'S BANK DETAILS

This section is compulsory if this investment is being funded by anyone other than the contracting party? i.e. Parent/Family member/ Legal Guardian/Entity (eg Trust).

Surname of account holder Initials

Bank and branch

Branch code and account number

Account type: Current Savings



OLD MUTUAL UNIT TRUSTS CLIENT DETAILS UPDATE FORM



3. TAX RESIDENCY DETAIL

As a financial institution we are obliged to comply with international agreements signed by our government aimed at combatting tax evasion. In terms of these agreements, we are required to collect information regarding where you are resident for tax purposes including the related tax reference numbers. If you are resident for tax purposes in a country other than South Africa, certain of your information will be reported to SARS who will automatically share this information with the participating countries where required.

Each country has its own rules for whether a person is resident for tax purposes or not. It is possible to be resident for tax purposes in more than one country. Depending on the rules of the country, tax residency may be determined by factors such as where you permanently live, where you were born, or if you live and work in the country for more than half the year. If you are a US citizen or hold a US passport or green card, you will also be considered resident for tax purposes in the US even if you live outside the US, unless you have given up your citizenship.

Please answer the following questions and complete your tax reference number(s) in the table below, along with details of your identifications and any other nationality or citizenship, providing identification for each country for which you are a resident for tax purposes.

			Country of tax residence	Income tax reference number (TIN) (* mandatory – If you do not have an Income Tax reference number please select reason code (A/B/C) in table below)	Foreign ID/ passport of country	ID type
	Yes	No				
Are you a resident for Tax purposes in South Africa?						
If YES please include your South African Income Tax Number						
Do you have a Value Added Tax (VAT) Number?						
If YES please include your VAT Number						
Are you a citizen and/or tax resident of the United States of America?						
If "Yes", please provide the following information						
Are you a resident for tax purposes in any other country?						
If "Yes", please provide the following information						
Country 1						
Country 2						
Country 3						

TIN reason code table

Reason	Description	Please tick (✓)	Why is TIN unobtainable
A	The country/jurisdiction does not issue TINs to its residents		
B	The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN if you have selected this reason)		
C	No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)		

I (Full Name) , ID/Passport number

certify that the information provided above is correct. If I am a tax resident of any country other than or in addition to South Africa, I have stated this above. I undertake to advise Old Mutual Unit Trust Managers (RF) (Pty) Ltd within 30 days should information contained in this form change.

Signature

Date

D	D	M	M	C	C	Y	Y



4. CONFIRMATION OF TAX STATUS FOR WITHHOLDING TAX

Old Mutual Unit Trusts requests investors as beneficial owners of the investment, or their authorised signatory on behalf of the beneficial owner/ investors to confirm your tax status to us in writing in the section provided below. This is to ensure that you receive the applicable tax exemption or that your tax is at the applicable reduced rates for Dividends Tax and the Withholding tax on Interest. Should you not complete and sign this section, until we are in possession of correctly completed and signed forms, Old Mutual Unit Trusts will be required to:

- Withhold Dividends Tax at the applicable rate stipulated in the legislation on all dividends, and if applicable
• Withhold tax at the applicable rate stipulated in the legislation, on all interest, subject to the tax Withholding Tax on Interest (applicable to tax residents of a country other than South Africa).

WHO QUALIFIES FOR A DIVIDENDS TAX EXEMPTION?

All investors qualify for an exemption from Dividends Tax on dividends distributed. However, qualification is not automatic and you have to complete the Declaration below confirming where you are resident for tax purposes.

- If you are resident for Tax purpose in South Africa, you should qualify for the para (l) exemption because:
a. Income declared by a Real Estate Investment Trust [REIT] is deemed a dividend and subject to normal Income Tax in the hands of a South African Tax Resident.
b. Due to a South African Tax Resident being subject to normal tax on the income, exemption (l) would then apply for Dividend Tax.
• If you are not resident for tax purposes in South Africa, but resident for tax purposes in another jurisdiction, the para (j) exemption should apply where instances of para (x), (y) or (z) do not.

To claim the benefit from a dividends tax exemption

You as the investor, as the beneficial owner of this investment, or the authorised signatory on behalf of the beneficial owner, need to indicate below why the investor is exempt from dividends tax and to sign the declaration and undertaking provided below.

- [] Par (j) – a person that is not a resident and the dividend is a dividend contemplated in paragraph (b) of the definition of “dividend” in section 64D (i.e. a dividend on a foreign company’s shares listed in SA, such as dual-listed shares)
[] Par (l) – any person insofar as the dividend constitutes income of that person (i.e. falls into normal tax system)*
[] Par (y) – Double Taxation Agreement
[] Par (z) – Other international agreement

DECLARATION IN TERMS OF SECTION 64FA(1)(A)(I), 64G(2)(A)(AA) OR 64H(2)(A)(AA) OF THE ACT:

I [] (full names in print please), the undersigned, hereby declare that dividends paid to the beneficial owner is exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above.

Signature [] (Duly authorised to do so) Date [] [] [] [] [] [] [] []

Capacity of Signatory (if not the investor):- []

UNDERTAKING IN TERMS OF SECTIONS 64FA(1)(A)(II), 64G(2)(A)(BB) OR 64H(2)(A)(BB) OF THE ACT:

I [] (full names in print please), the undersigned, undertake to inform the Withholding Agent in writing should the circumstances of the beneficial owner referred to in this declaration change.

Signature [] (Duly authorised to do so) Date [] [] [] [] [] [] [] []

Capacity of Signatory (if not the investor):- []



4. CONFIRMATION OF TAX STATUS FOR WITHHOLDING TAX CONTINUED

CLAIMS FOR DIVIDENDS TAX REDUCED RATES TO BE APPLIED*, EXEMPTION FROM THE WITHHOLDING TAX ON INTEREST OR FOR REDUCED RATES TO BE APPLIED IN RELATION TO THE WITHHOLDING TAX ON INTEREST* (*ONLY APPLICABLE TO PERSONS TAX RESIDENT IN A COUNTRY OTHER THAN SOUTH AFRICA)

I qualify for a reduced rate of Dividend Withholding Tax because a double tax agreement is in place. Please complete and sign the Declaration of Beneficial Owner Form in relation to Double Taxation Agreement relief available from www.oldmutualinvest.com or by calling the Service Centre at 0860 234 234 and return the signed form to Old Mutual Unit Trusts to claim the reduced rate of tax.

Signed at (place) []

on (date) [D D M M C C Y Y]

[]

Signature of contracting party

CONTACT DETAILS

Old Mutual Unit Trust Managers (RF) (Pty) Ltd
Registration no.: 1965/08471/07
VAT no.: 4230116479
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa
PO Box 207, Cape Town 8000, South Africa
Telephone no.: +27(0)21 503 1770
Helpline: 0860 234 234
Internet address: www.oldmutualinvest.com
Email: unittrusts@oldmutual.com
Complaints may be directed to the Client Relationship Manager at the above address. Our complaints process is available via our website www.oldmutualinvest.com or by contacting 0860 234 234.
Compliance Department: +27(0)21 503 1770

Old Mutual Investment Administrators (Pty) Ltd
Registration no.: 1988/003478/07
Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa
PO Box 5408, Cape Town 8000, South Africa
Telephone no.: +27(0)21 503 1770
Compliance Department: +27(0)21 503 1770
Professional indemnity cover and fidelity insurance cover are in place.
Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers (RF) (Pty) Limited and is a licensed financial services provider.

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