



OLD MUTUAL UNIT TRUSTS WITHHOLDING TAX ON INTEREST DECLARATION



Return this form by emailing it to grouputrcorporates@oldmutual.com. Contact our Service Centre at 0860 234 234 if you have any queries.

WHO SHOULD COMPLETE THIS FORM?



- Please complete and sign this form if you are an investor subject to a different rate of tax on local interest as a result of a Double Tax Agreement (DTA) or if you represent a legal entity that is exempt from **Withholding Tax on Interest (WTI)**.
- The owner of the interest must complete and sign all the relevant sections.
- Individuals who are tax resident in South Africa are not subject to the normal rate of WTI and do not have to complete this form. Where tax is applied, the WTI will automatically be withheld and interest paid net of tax.

PLEASE NOTE:



- This declaration is made in respect of all the unit trust funds held within the investor's standard unit trust portfolio, in terms of the Income Tax Act (No. 58 of 1962) (the Act). It applies to all Collective Investment Scheme (CIS) unit trust fund portfolios as managed by Old Mutual Unit Trust Managers (RF) (Pty) Ltd. These unit trust fund portfolios are listed on the last page of this form.
- If you don't complete, sign and return this form to Old Mutual Unit Trust Managers (RF) (Pty) Ltd, we are obliged to withhold tax on local interest at the applicable rate stipulated in legislation.
- Duly completed and signed forms that reach us by 15h00 will be processed on the same day.

INSTRUCTIONS:



Please complete section 1, and then select the applicable option below.

- A. I/We hereby apply for exemption. Complete and sign section 2.
- OR
- B. I/We hereby apply for a reduced rate of tax. Complete and sign section 3.

PROCESSING TIMES



The same day's price will be applied to transaction requests submitted by 15h00 (12h30 for all transactions involving money market funds) on any working day, provided that all supporting documents are provided and all FICA requirements* have been met. Transaction requests received after these times will be processed the next available working day, once all requirements have been met, with the same cut-off times applying.

* In line with FICA, Old Mutual Unit Trust Managers (RF) (Pty) Ltd has adopted a risk based approach to establish and verify the identity of individuals or entities it enters into business transactions with. This verification process may require additional documentation. In the event of this, there may be a delay in processing your transaction instruction and in some instances this may result in the same day's price not being applied to instructions submitted by 15h00. The transaction will be applied once all additional verification processes have been completed.

CONTACT DETAILS



Helpline: 0860 234 234
Telephone: +27(0)21 503 1770
Email: unitrusts@oldmutual.com
Website: www.oldmutualinvest.com
Invest Online: <https://www.oldmutualinvest.com/all-products>



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1. INVESTOR'S DETAIL

Unit trust contract number

INDIVIDUAL IN WHOSE NAME INVESTMENT IS HELD

Title and surname

Full first names

Gender:

 Male Female

Date of birth

Marital status:

 Single Married Divorced Widowed

Other

Marital status type:

 Marriage in Community of Property Marriage with Accrual Marriage without Accrual

Other

Proof of Identity:

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport/Refugee)	Country of issue (ID or passport)	ID/Passport number	Passport issue date	Passport expiry date

Other

State type eg. Social security number

Country of citizenship

Country of birth

Country of residence

Country of Nationality

Nationality start date

Contact details:

Cellphone

(Include country dialling code e.g. South Africa +27)

Alternative number

(Include country dialling code e.g. South Africa +27)

E-mail address

Old Mutual Unit Trusts' preferred method of communication is e-mail

NAME OF ENTITY INVESTOR IN WHICH NAME THE INVESTMENT IS HELD

Detail of investing entity:

Please provide your unit trust contract number

Investing Entity Trade Name (e.g. Fund/Trust/Investment Product/Fund of Funds/Nominee Company Ref)

Entity Name of Holding Company/Management Company/Nominee Company

Entity Name Registration number

Entity Registration Date

Entity Tax Number

Entity VAT Number

Type of Entity

 Listed Company Unlisted Company Trust Partnership LISP
 Close Corporation Fund of Funds Retirement Fund Charitable organisation

Other



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3. DECLARATION FOR REDUCED RATE OF TAX

Declaration in terms of section 50E(3) of the Act:

I (full names in print please), the undersigned declare that all the relevant requirements in terms of Article of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force on the relevant date between the Republic of South Africa and the country of residence of the foreign person referred to in Section 1 above have been met, and that the interest is therefore subject to a reduced rate of %. I also undertake to forthwith inform the person paying the interest in writing should the circumstances of the foreign person referred to in this declaration change.

Signature

The date from which this declaration is effective is

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

(Duly authorised to do so)

Capacity of Signatory*:

Date

D	D	M	M	C	C	Y	Y
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

* If not the foreign person to or for the benefit of which the interest is paid.

4. PROTECTION OF PERSONAL INFORMATION ACT (POPIA)

The Old Mutual Group would like to offer you ongoing financial services and may use your personal information to provide you with information about products or services that may be suitable to meet your financial needs. Please SMS your ID number to 30994 if you would prefer not to receive such information and/or financial services.

Please click [here](#) to read Old Mutual full Privacy Notice.

5. DISCLAIMER

We aim to treat our clients fairly by giving you the information you need in as simple a way as possible to enable you to make informed decisions about your investments.

- We believe in the value of sound advice and so recommend that you consult a financial planner before buying or selling unit trusts. You may however, buy and sell without the help of a financial planner. If you do use a financial planner, we remind you that they are entitled to certain negotiable planner fees.
- You should ideally see unit trusts as a medium to long term investment. The fluctuations of particular investment strategies affect how a fund performs. Your fund value may go up or down. Therefore, we cannot guarantee the investment capital or return of your investment. How a fund has performed in the past does not necessarily indicate how it will perform in the future.
- Our cut-off time for client instructions (e.g. buying and selling) is at 15:00 each working day for all our funds, except for our money market funds, where the cut-off is at 12:30. The valuation time is set at 15:00 each working day for all our funds, excluding our money market funds which is at 13:00, to determine the daily ruling price (other than at month-end when we value the Old Mutual Index Funds and Old Mutual Multi-Managers Fund of Funds range at 17:00 close). Daily prices are available on our public website (www.oldmutualinvest.com) and in the media.
- The daily ruling price is based on the current market value of the fund's assets plus income minus expenses (NAV of the portfolio) divided by the number of units in issue.
- The fund fees and costs that we charge for managing your investment is accessible on the relevant fund's minimum disclosure document (MDD) or Table of fees and charges (including the Low Balance Charge), both available on our public website (www.oldmutualinvest.com), or from our contact centre (0860 234 234).
- Additional information on this proposed investment can be obtained, free of charge, from our public website or our contact centre.
- Funds may borrow to pay client disinvestments and may engage in scrip lending. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd has the right to close a portfolio to new investors in order to manage it more efficiently in accordance with its mandate.
- Old Mutual Unit Trust Managers (RF) (Pty) Ltd is a registered manager in terms of the Collective Investment Schemes Control Act 45 of 2002.
- Old Mutual is a member of the Association for Savings & Investment South Africa (ASISA).

Money Market Funds:

A Money Market Fund is not a bank deposit account. Its unit price aims to be constant but investment capital is not guaranteed. The total return is primarily made up of interest (declared daily at 13:00) but may also include any gain/loss on any particular instrument. In most cases this will merely have the effect of increasing or decreasing the daily yield, but in the case of abnormal losses, it can have the effect of reducing the capital value of the fund. The published yield is calculated using the average of the fund's previous seven days' net income (and assumes all income was reinvested). This figure is then annualised, which is the weighted average compound growth rate. A process of ring-fencing of withdrawal instructions and managed pay-outs over time may be followed should excessive withdrawals from a fund place the fund under liquidity pressures.

Income Funds:

Income funds derive their income primarily from interest-bearing instruments as defined. The published yield is a current yield and is calculated daily. The value of underlying assets of Income funds are marked to market on a daily basis.



5. DISCLAIMER CONTINUED

Fund of Funds:

A fund of funds is a portfolio that invests in other funds in order to meet the investment objective of the fund of funds. Fund of funds can invest in local, foreign or offshore funds which levy their own charges, and may result in a higher fee structure.

Feeder Funds:

A feeder fund is a portfolio that invests all of its capital in a single underlying fund. This underlying fund can be a local, foreign or offshore fund and levy its own charges which may result in a higher fee structure.

Third-Party Named Funds (co-branded):

Old Mutual Unit Trust Managers (RF) (Pty) Ltd is the manager of Adviceworx, Old Mutual Multi-Managers and Public Investment Corporation SOC Limited suites of co-branded funds and retains full legal accountability.

Funds holding foreign assets:

Some funds hold assets in foreign countries and therefore may have risks, in these countries, regarding liquidity, the repatriation of funds, political and macro-economic situations, foreign exchange, tax, settlement and the availability of information.

CONTACT DETAILS

Old Mutual Unit Trust Managers (RF) (Pty) Ltd

Registration no.: 1965/08471/07

Tax registration number: 9900144719

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa

PO Box 207, Cape Town 8000, South Africa

Telephone no.: +27(0)21 503 1770

Helpline: 0860 234 234

Internet address: www.oldmutualinvest.com

Email: unittrusts@oldmutual.com

Complaints may be directed to the Client Relationship Manager at the above address. Our complaints process is available via our website www.oldmutualinvest.com or by contacting 0860 234 234.

Compliance Department: +27(0)21 503 1770

Visit www.oldmutualinvest.com for unit trust information, prices and news. Log in to view your portfolio online.

Clients who select email as their preferred correspondence method benefit from an enhanced communication offering.

Old Mutual Investment Administrators (Pty) Ltd

Registration no.: 1988/003478/07

Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa

PO Box 5408, Cape Town 8000, South Africa

Telephone no.: +27(0)21 503 1770

Compliance Department: +27(0)21 503 1770

Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Investment Administrators (Pty) Ltd is a third party administration and service provider to Old Mutual Unit Trust Managers (RF) (Pty) Limited and is a licensed financial services provider.



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FUND NAME	REFERENCE NUMBER
Old Mutual Active Income Fund	0590/165/29/8
Old Mutual Albaraka Balanced Fund	9242/164/18/5
Old Mutual Albaraka Equity Fund	9217/086/18/1
Old Mutual Albaraka Income Fund	9188/842/24/0
Old Mutual All Bond Index Fund	9641/882/20/6
Old Mutual Balanced Fund	9440/007/60/8
Old Mutual Bond Fund	9002/001/60/1
Old Mutual Capital Builder Fund	9076/017/18/6
Old Mutual Capped All Share Index Fund	9203/101/23/4
Old Mutual Core Balanced Fund	0556/838/25/8
Old Mutual Core Conservative Fund	9256/734/22/0
Old Mutual Core Income Fund	9284/966/17/4
Old Mutual Core Moderate Fund	0839/123/24/7
Old Mutual Corporate Income Fund	9155/267/24/9
Old Mutual Corporate Money Market Fund	9344/429/15/5
Old Mutual Dynamic Equity Managers	0416/743/29/2
Old Mutual Dynamic Floor Fund	9131/529/15/9
Old Mutual Equity Fund	0058/339/30/0
Old Mutual ESG Equity Fund	9150/330/25/7
Old Mutual Flexible Fund	9301/002/60/7
Old Mutual FTSE RAFI® All World Index Feeder Fund	9430/174/17/8
Old Mutual Global Currency Feeder Fund	9245/117/18/0
Old Mutual Global ESG Equity Feeder Fund	2406/879/18/5
Old Mutual Global Equity Fund	9000/041/60/9
Old Mutual Global Islamic Equity Feeder Fund	9442/882/20/6
Old Mutual Global Managed Alpha Equity Feeder Fund	1467/359/23/6
Old Mutual Gold Fund	9000/032/60/8
Old Mutual Income Fund	9430/004/60/7
Old Mutual Institutional Interest Bearing Fund	9966/509/17/7
Old Mutual Investors' Fund	9430/021/60/1
Old Mutual Managed Alpha Equity Fund	9218/095/18/1
Old Mutual Maximum Return Fund	9543/989/16/5
Old Mutual Mid & Small-Cap Fund	9318/002/60/8
Old Mutual Moderate Balanced Fund	1501/123/18/4
Old Mutual Money Market Fund	9007/027/60/1
Old Mutual MSCI Emerging Markets Selection Index Feeder Fund	9881/910/16/1
Old Mutual MSCI World Selection Index Feeder Fund	9007/711/27/9
Old Mutual Multi-Managers Aggressive Balanced Fund of Funds	9145/782/22/4

FUND NAME	REFERENCE NUMBER
Old Mutual Multi-Managers Balanced Fund of Funds	9405/415/15/0
Old Mutual Multi-Managers Cautious Fund of Funds	9246/132/18/8
Old Mutual Multi-Managers Defensive Fund of Funds	9094/595/15/5
Old Mutual Multi-Managers Enhanced Income Fund of Funds	9053/009/17/2
Old Mutual Multi-Managers Equity Fund of Funds	9670/702/14/2
Old Mutual Multi-Managers Maximum Return Fund of Funds	9144/790/22/8
Old Mutual Multi-Managers Money Market Fund	9696/687/14/5
Old Mutual Multi-Manager Worldwide Multi-Asset Fund of Funds	9059/739/28/5
Old Mutual RAFI® 40 Index Fund	9154/637/17/8
Old Mutual Real Income Fund	9004/554/17/7
Old Mutual SA Quoted Property Fund	9950/502/14/7
Old Mutual Stable Growth Fund	9153/631/17/2
Old Mutual Stable Income Fund	1261/188/24/5
Old Mutual Top 40 Index Fund	9511/398/14/2

OLD MUTUAL MULTI-MANAGERS BUILDING BLOCK FUNDS

Old Mutual Multi-Managers Global Equity Fund of Funds	9038/721/25/5
Old Mutual Multi-Managers Global Income Fund of Funds	0894/684/19/0
Old Mutual Multi-Managers Global Property Fund of Funds	0428/355/26/7
Old Mutual Multi-Managers Income Fund No. 3	0108/316/28/2
Old Mutual Multi-Managers Income Fund No. 4	0959/565/19/3
Old Mutual Multi-Managers Income Fund No. 5	2791/963/16/4
Old Mutual Multi-Managers Inflation Plus Fund No. 4	9076/043/17/4
Old Mutual Multi-Managers Property Equity	1754/699/17/9
Old Mutual Multi-Managers Satellite Equity Fund No. 1	9166/715/15/2
Old Mutual Multi-Managers Satellite Equity Fund No. 2	9074/688/16/0
Old Mutual Multi-Managers Satellite Equity Fund No. 3	9075/693/17/7
Old Mutual Multi-Managers Satellite Equity Fund No. 4	9248/118/18/5