



NEW BUSINESS FORM OLD MUTUAL PRESERVATION FUNDS APPLICATION FORM

Mutualpark, Jan Smuts Drive, Pinelands 7405 ■ PO Box 207, Cape Town 8000.
Tel: 0860 234 234 ■ retire@oldmutual.com ■ www.oldmutualinvest.com

IMPORTANT INFORMATION

For more information on the Old Mutual Preservation Funds, read the brochure linked here. If you are retrenched or resign, you need a place to preserve and grow the proceeds of your previous employer's pension or provident fund. The Old Mutual Unit Trusts Preservation Pension Fund accepts the proceeds of pension funds, while the Old Mutual Unit Trusts Preservation Provident Fund accepts the proceeds of provident funds .

This Old Mutual Preservation Funds application is for individual self-managed investors only. Should you be using the services of a Financial Planner, your appointed Planner should implement your investment via the Old Mutual Wealth Planner Portal.

1. If you are transferring capital from a pension fund you will become a member of the Old Mutual Wealth Preservation Pension Fund (the Fund); if you are transferring capital from a provident fund you will become a member of the Old Mutual Wealth Preservation Provident Fund (the Fund). Please indicate your choice in the tick box provided below.
2. Please complete and sign all relevant sections using block letters and tick (✓) where appropriate.
3. The Effective Annual Cost (EAC) is a standard industry measure for your information and to facilitate comparing the cost of an investment over specific periods. Visit www.oldmutualinvest.com for more information and to access the EAC illustrator.
4. The completed form and a copy of your identity document/passport can be **scanned and emailed to retire@oldmutual.com**. Illegible and incomplete forms may lead to delays. **Contact our Client Service Centre at 0860 234 234 if you have any queries.**
5. To start processing on the same day, Old Mutual Investment Services (the Administrator) must receive all completed instructions on any business day before 3pm (South African time). Any instructions received after 3pm will be processed on the next business day.
6. Once your application has been processed you will be a member of the Old Mutual Wealth Retirement Preservation Fund and receive a contract, detailing the terms and conditions of the Old Mutual Preservation Fund.
7. Old Mutual unit trust funds are the investments with which you must structure your preservation fund portfolio.
8. Your preservation member investment contract must comply with Regulation 28 of the Pension Funds Act. A member's individual investment contract must abide by the following limits on maximum asset class exposure:
 - Total equity: 75%
 - Listed property: 25%
 - Total offshore exposure: 30%
 - Africa: 10% (over and above the allowed 30% offshore exposure)

APPLICATION TO BECOME A MEMBER OF THE FUND

I hereby apply to become a member of the Fund as indicated below and to purchase units to the value of the amount referred to in section 4.

Old Mutual Wealth Preservation **Pension** Fund Old Mutual Wealth Preservation **Provident** Fund

1. CLIENT DETAILS

Title: Mr Ms Mrs Other Initials

Surname

First names

Marital status Single Married Divorced Widowed Other

Marital status type Civil Marriage in Community of Property Civil Marriage with Accrual Civil Marriage without Accrual Other

Please provide copy(s) of identification document(s) with this form

Type of Identification (ID or Passport) Refugee	Country of issue (ID or passport)	ID/Passport number	Issue date	Expiry date of passport
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Other State type eg. Social security number Country of citizenship:

Country of birth: Country of residence: Country of nationality:

Are you registered for Tax purposes in South Africa? YES NO South African Income Tax Number





1. CLIENT DETAILS CONTINUED

Source of Income:

Annuity Payment <input type="checkbox"/>	Commission <input type="checkbox"/>	Director's remuneration <input type="checkbox"/>	Disability Grants <input type="checkbox"/>
Gratuities/Tips <input type="checkbox"/>	Income protection payment <input type="checkbox"/>	Independent contractor /Labour broker <input type="checkbox"/>	Inheritance <input type="checkbox"/>
Maintenance Support <input type="checkbox"/>	Monthly Pension <input type="checkbox"/>	Salary <input type="checkbox"/>	Scholarship/Bursary <input type="checkbox"/>
Self-Employed/ Own Business <input type="checkbox"/>	Third Party (Spouse/Partner/ Family Member) <input type="checkbox"/>	Other Investments Income <input type="checkbox"/>	

Source of Funds for this transaction:

2. YOUR BANK DETAILS

Note that deductions cannot be made from credit cards.

Surname of account holder Initials

Bank and branch

Branch code and account number

Account type: Current Savings

3. TRANSFERRING RETIREMENT ANNUITY, PENSION OR PROVIDENT FUND DETAILS (IF APPLICABLE)

Name of fund

Postal address

Postal code

Country

E-mail

Contact person

Cellphone Country dialling code (For eg. South Africa +27) No.

Telephone (Home) Country dialling code (For eg. South Africa +27) No.

Telephone (Work) Country dialling code (For eg. South Africa +27) No.

Administrator

4. AMOUNT TO BE TRANSFERRED

R (Min R10 000.00)



5. FUND SELECTION

Distributions are automatically reinvested. Your Old Mutual Preservation Fund contract must comply with Regulation 28 of the Pension Funds Act. For more information please contact 0860 234 234.

Please click [here](#) to view the full range of funds available to you for investment.

UNIT TRUST NAME	INVESTMENT AMOUNT
TOTAL	R

6. BENEFICIARIES

You may nominate beneficiaries to receive the proceeds of this investment in the event of your death while you are still a member of the Fund. Note that in terms of the Pension Funds Act, the Board of Trustees is tasked with the equitable distribution of the benefit amongst your dependants and nominated beneficiaries. Although the Board of Trustees will take your wishes expressed in the **Beneficiary Nomination Form** (see below) into account, they are not bound by them but are also required to consider the claims of persons who were dependants, as defined by the Act, at the time of your death. In order for them to have the most accurate information before them it is important that you regularly update your beneficiary. If you have more than two beneficiaries, please attach their details to this form.

If you die before you retire from the Fund/s, the Trustees of the Fund are responsible for allocating your benefits in terms of Section 37C of the Pension Funds Act (the Act). According to the Act, the trustees must perform the following three duties:

- Identify and find all of your dependants. Section 1 of the Act defines dependants as spouses, children, anyone proven to have been financially dependent on you at the time of your death, anyone entitled to maintenance, as well as anyone who may in future have become financially dependent on you.
- Decide how to divide the benefit based on the outcome of their investigations. The individuals that you have asked the trustees to consider when they allocate the benefit (your nominees) will also be taken into account.
- Decide on how the benefit will be paid to your dependants and/or nominees.

Title: Mr Ms Mrs Other Initials

Surname

Full names

ID/Passport number Date of birth D D M M C C Y Y

Nationality

Relationship Benefit share %

Cellphone Country dialling code No.
(For eg. South Africa +27)

Telephone (Home) Country dialling code No.
(For eg. South Africa +27)

Telephone (Work) Country dialling code No.
(For eg. South Africa +27)

Email address





9. CLIENT DECLARATION

I understand and agree that:

1. The application Form, any applicable statutory provisions, the registered rules of the Fund and related documents form the sole basis of my membership of the Fund.
2. By signing the application Form, applying for membership of the Fund, I acknowledge that I am aware of all initial and ongoing fees, risks and all information relating to the portfolio and am solely responsible for the selection of, and any changes to, the composition of my portfolio at all times.
3. I agree to be bound by the registered rules of the Fund and acknowledge that the singular includes the plural in this application form and vice versa.
4. I hereby authorise and instruct the transferring fund to pay such amount into the Fund selected by me on page 1 of this form.
5. All information given in this application, and in all documents which have been or will be signed by me in connection with this application, whether in my handwriting or not, is true and complete and shall be the basis of the proposed agreement.
6. I may only make withdrawals from this product according to legislation and the registered rules of the Fund.
7. I hereby consent to Old Mutual sharing my personal information with tracing agents in the event that my investments become unclaimed assets.
8. I have read and am aware of the funds objectives, risk factors, the charges and income distributions, as set out in the unit trust fund fact sheet/s (Minimum Disclosure Document).
9. I take note that in some instances, income may be reflected as "interest income" but accept that such income is fully Shari'ah compliant and has been approved as such by the Shari'ah Supervisory Board.

Signature

Date

D	D	M	M	C	C	Y	Y

CONTACT DETAILS

Old Mutual Investment Services (Pty) Ltd
 (Registration no. 1996/000452/07)
 Registered address: Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa.
 PO Box 207, Cape Town 8000, South Africa.
 Telephone no.: +27 (0)21 503 1770
 Compliance Department: +27 (0)21 503 1770
 Professional indemnity cover and fidelity insurance cover are in place.

Old Mutual Wealth Preservation Pension Fund
 FSB No.: 12/8/37160/1 & SARS No.: 18/20/4/41437

Old Mutual Wealth Preservation Provident Fund
 FSB No.: 12/8/37161/1 & SARS No.: 18/20/4/41460
 Registered address: Mutualpark, Jan Smuts Drive, Pinelands 7405, South Africa.
 PO Box 207, Cape Town 8000, South Africa.
 Telephone no.: 0860 234 234 (RSA only), +27 (0)21 503 1770 (other countries)
 Email: retire@oldmutual.com.

Board of Trustees: D L D Turpin* (Chairperson), V Bell*, A P J Cronje, T E P Browne and F Samodien.
Principal Officer: E M Gamble*, Deputy Principal Officer: AG Pelsler*
 *Independent

Visit www.oldmutualinvest.com for unit trust information, prices and news. Log in to view your portfolio online.

